

1744  
PATENTCase Docket No. JOHNA.055CP1  
Date: September 13, 2001

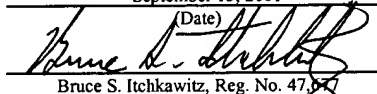
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert C. Platt, Jr., et al.  
Appl. No. : 09/812,148  
Filed : March 19, 2001  
For : STERILIZATION SYSTEM  
EMPLOYING A  
SWITCHING MODULE  
ADAPTED TO PULSATE  
THE LOW FREQUENCY  
POWER APPLIED TO A  
PLASMA  
  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

September 13, 2001

(Date)

  
Bruce S. Itchkawitz, Reg. No. 47,677

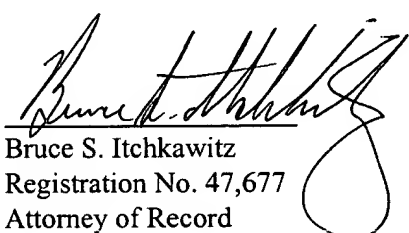
## TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH

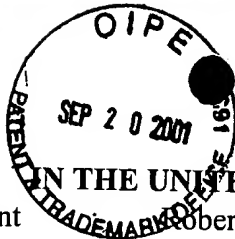
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing twelve (12) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record

JOHN A. 055CP1



PATENT

Applicant Robert C. Platt, Jr., et al. ) Group Art Unit Unknown  
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Filed : March 19, 2001 )  
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EMPLOYING A SWITCHING )  
MODULE ADAPTED TO )  
PULSATE THE LOW )  
FREQUENCY POWER )  
APPLIED TO A PLASMA )  
Examiner : Unknown )

#  
274  
9/20/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

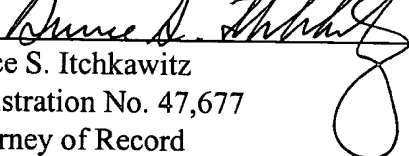
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TC 1700

Dear Sir:

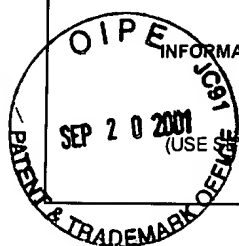
Enclosed is form PTO-1149 listing twelve (12) references. Please note that these references are of record in related patent applications serial no. 09/677,534 filed on October 2, 2000. Therefore, no copies of these references are included. Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/13/01

By:   
Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
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Newport Beach, CA 92660  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
JOHNA.055CP1APPLICATION NO.  
09/812,148INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Robert C. Platt, Jr., et al.FILING DATE  
March 19, 2001GROUP  
Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,876,373	04/1973	Glyptis			
	2	4,347,541	08/1982	Chen, et al.			
	3	4,643,876	02/17/87	Jacobs, et al.			
	4	4,751,608	06/1988	Schultz			
	5	4,756,882	07/12/88	Jacobs, et al.			
	6	5,302,343	04/12/94	Jacob			
	7	5,473,292	12/1995	Victorin			
	8	5,455,549	10/1995	Strickland, et al.			
	9	5,490,197	02/1996	Albert, et al.			
	10	5,512,244	04/30/96	Griffiths, et al.			
	11	5,656,238	08/12/97	Spencer, et al.			
	12	6,060,019	05/09/00	Spencer, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER  
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


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091101

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SEP 24 2001  
TC 1100

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	